

Configuration of Grating Structures by Using Interfaces

Abstract

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Optical grating structures are widely used for several applications such as spectrometers, near-eye display systems, etc. VirtualLab Fusion provides rigorous analysis of arbitrary grating structures in an easy way by applying the Fourier modal method (FMM). In the Grating Toolbox, the grating structure can be configured by using various interfaces or/and media within a stack. The user interface to set up the geometry of a stack is user friendly and can be used to generate even more complex grating structures. In this use case the configuration of grating structures based on interfaces is explained.

This Use Case Shows ...

- How to configure grating structures in Grating Toolbox by using interfaces, e.g.:
 - rectangular grating interface
 - transition point list interface
 - sawtooth grating interface
 - sinusoidal grating interface
- How to change advanced options & inspect defined structure before calculation.

Grating Toolbox Initialization

- Initialization
 - Start →
 Grating →
 General Grating Light Path Diagram
- note: For usage of special type of grating, e.g. with rectangular shape, the specific light path diagram can be chosen directly.

Gratir	ng Laser Lighting Waveguide Resonator * *	About V 🖗 License
2D	Gratings	
20	General Grating Light Path Diagram	
200	Rectangular Grating Light Path Diagrar	n
R	Sawtooth Grating Light Path Diagram	
R	Sinusoidal Grating Light Path Diagram	
R	Triangular Grating Light Path Diagram	
R	Volume Grating Light Path Diagram	
R.	Programmable Grating Light Path Diagr	am
R	Sampled Grating Light Path Diagram	
R	Transition Point List Grating Light Path	Diagram
3D	Gratings	
ŝ	General Grating Light Path Diagram	
R	Pillar Grating Light Path Diagram	
LLC	iA Results	
Ŕ	LLGA Results Generator	

Grating Structure Settings

- First, the thickness and the material of the substrate (*Base Block*) have to be defined.
- In VirtualLab grating structures are defined in a so called stack.
- Stacks can be attached to either one or both sides of the substrate.



• For example, a stack on the first interface is chosen.



Stack Editor

- In the *Stack Editor* interfaces can be added or inserted from catalog.
- The catalog of VirtualLab provides several types of interfaces. All of them can be used to define a grating.

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OK Cancel Help

Definition Type Templates
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Combined Interface
Combined Interface
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Polynomial Interface
Polynomial Interface
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Rectangular Grating Interface

- One possible interface is the rectangular grating interface.
- This type of interface is appropriate for the configuration of simple binary structures.
- In this example, a grating made of silver is on a glass substrate.
- For this purpose, an additional plane interface was added in order to separate the grating structure from base block.
- In the view of the stack editor, different materials are indicated by other colors based on their index of refraction (dark means higher).

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						× d Base Block
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	Stack F	Period		10 µm		
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Rectangular Grating Interface

- Please note: the order of the interfaces is always counted from the surface of the substrate.
- The selected interface is highlighted red in the view.
- Further, the medium in front of the grating (means behind last interface) can not be defined here. It is automatically taken from the material in front of the grating component.
- This material can be changed in the *Light Path Editor*.

	Path 🥄 💏 D	etectors	Analyzers	<u> </u>	Logging		1
	5	Start Element			Target Element	Linkage	
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1	General Grating 2D	Т	Air in Homogeneous Medi				
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Rectangular Grating Interface

- The Stack Period allows to control the period of the whole configuration.
- This period is also taken for the periodic boundary conditions of the FMM algorithm.
- In case of simple grating structures, it is recommended to choose the option *Dependent from Period of Interface* and select the proper index of the periodic interface.

Edit	Stack								×
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Rectangular Grating Interface Parameters

- The rectangular grating interface is defined by the following parameters
 - slit width (absolute or relative)
 - grating period
 - modulation depth
- A lateral shift and rotation can be set optionally.



tructure	Height Discontinuities	Scaling of Elementary	/ Interface	Periodizatio	n	
Special F	Rectangular Grating Val	ues				
Slit Wid	lth 🗸	5 µm				
c	Castin - Values					
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Grati	ing Period	10 µm	Modulation	n Depth		1 µm
Positior	,					
	ral Shift	0 mm	Rotation A	nale		0°
Edici	di onne		Hotation A	ingro		-

- In the propagation menu several advanced options are available.
- The propagation method tab allows to edit the accuracy settings of the FMM algorithm.
- Either the numbers of considered total orders or evanescent orders can be set.
- This might be useful, if metallic gratings are considered.
- In contrast, in case of dielectric gratings, the default setting will be sufficient.

Edit General Grating 2D Component	×
Component Propagation Fourier Modal Method V Edit	
Systems Interface Stack Medium	
Plane Surface Sinusoidal Grating Fused Silica in Homoge	
1 Fourier Modal Metho V Fourier Modal Metho V Fourier Modal Metho V	
Position / Orientation 2 Plane Surface Stack Fused_Silica in Homoge	
Edit Fourier Modal Method (RCWA)	×
Structure Numerical Parameter Structure Decomposition	
Number of Orders ○ Number of Diffraction Orders ● Number of Evanescent Orders ● (Considering All Propagating Orders)	
Propagation 11 propagating orders (for perpendicular incident). 61 diffraction orders are used for calculation.	
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Validity: Validity: Preview Wavelength 532 nm OK Cancel Hel	lp

- The *Advanced Settings* tab provides information about the decomposition of the structure.
- The Layer Decomposition and Transition Point Decomposition settings can be used to adjust the discretization of the structure. The default settings are appropriate for nearly all grating structures.
- Further, information about the number of layers and transition points are provided.
- The *Decomposition Preview* button provides a depiction of the structure data which are used for the FMM calculation. The refractive index is illustrated by a color scale.

Numerical Parameter Structure De	composition		
 Automatic 			
Accuracy Factor			1
O Manual			
Number of Layers (First	Stack)		
Overall Thickness			1 µm
Transition Point Decomposition			
 Automatic 			
Accuracy Factor			1
Manual			
Number of Points			
O Point Distance			
Period		2 µm	
Information Maximum total number of lay Minimum transition point dis			
Remove Redundant Data			Decomposition Preview

Transition Point List Interface

Transition Point List Interface

- Another type of interface which can be used for the configuration of gratings is the transition point list interface.
- This interface allows to configure a structure based on height values for different positions inside the period.
- Again, a plane interface is used to separate the grating material or medium from the one of the substrate.

Edit	Stack								×
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	Stack F	Period		10 µm					
3	0	Tools 🎢	-			ОК	Cancel		Help

Transition Point List Parameters

- The transition point list interface is defined by a list which contains the data of x-positions and heights.
- The *Upper Limit* has to be set to a value larger than half of the desired grating period, but is set automatically in case of periodic structures.

			ce			
ructure	Height Disco	ntinuities	Scaling of Ele	mentary Interface	Periodization	1
х-	Position -5 μm		leight 10 μm			Set Data Array
	-2 μm -1 μm		0 mm 10 μm			Show Data Array
	-ιμπ 1μm		2 μm			🛃 Add Datum
	3 μm		8 μm			🛅 New Data Set
	4.5 μm 4.9 μm		0 mm 0 mm			
						Interpolation Methor Constant Interval
						Upper Limit 5 µ
		h	nvert Heights	Shift Positions	i	
	finition Area Id Shape	8	nvert Heights stangular	Shift Positions	5	
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Transition Point List Parameters

- The period of this interface has to be set in the *Periodization* tab.
- Here, the periods in x- and ydirection can be defined.
- The settings of the inner and outer definition area can be neglected in this case, because the extension of the interface is already truncated by the periodic boundary conditions.

		10 µm	x		10 µm	
Outer Definition A Size and Shape	rea 🚺					
Shape	Rectar	igular	⊖ Ellipt	ic		
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Effect on Field O	utside of Definitior	n Area				
Field Pass	es Plane Interfac	8				
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 Again, the data of the decomposed structure can be adjusted and investigated on the advanced settings tab page.

Period: 10 µm

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Edit General Grating 2D Component

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Sinusoidal Grating Interface

Sinusoidal Grating Interface

- Another type of interface which can be used for the configuration of gratings is the sinusoidal grating interface.
- This interface allows to configure gratings with a smooth shape of a sinusoidal function.
- If a single interface is used to describe the grating structure, the materials are chosen automatically:
 - material of ridges: material of substrate
 - material of grooves: material in front of grating



Sinusoidal Grating Interface Parameters

- The sinusoidal grating interface is also defined by the following parameters:
 - grating period
 - modulation depth
- A lateral shift and rotation can be set optionally.
- As this is a grating interface (likewise to the rectangular and sawtooth one) no periodization has to be chosen.

Edit Sinus	oidal Grating Interface					×
Structure	Height Discontinuities	Scaling of Elementary	y Interface	Periodizatio	n	
Common	Grating Values					
Extens	sion					
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		→				
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Sinusoidal Grating Interface Parameters

- The sinusoidal grating interface is also defined by the following parameters:
 - grating period
 - modulation depth
- A lateral shift and rotation can be set optionally.
- As this is a grating interface (likewise to the rectangular and sawtooth one) no periodization has to be chosen.

Edit Sinuso	oidal Grating Interface					×
Structure	Height Discontinuities	Scaling of Elementary	Interface	Periodization	1	
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 Again, the data of the decomposed structure can be adjusted and investigated in the advanced settings tab.



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Systems

Component Propagation

Interface

Fourier Modal Method

Edit Fourier Modal Method (RCWA)

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 If the number of layers is increased (e.g. by a factor of 2), the discretization becomes less rough.

Period: 10 µm

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Sawtooth Grating Interface

Sawtooth Grating Interface

- Another type of interface which can be used for the configuration of gratings is the sawtooth grating interface.
- This interface allows to configure gratings with blazed structure.
- If a single interface is used to describe the grating structure, the materials are chosen automatically:
 - material of ridges: material of substrate
 - material of grooves: material in front of grating



Sawtooth Grating Interface Parameters

- The sawtooth grating interface is also defined by the following parameters:
 - grating period
 - modulation depth
- Further, the direction of the blaze can be adjusted by setting the inclination.
- A lateral shift and rotation can be set optionally.
- As this is a grating interface (likewise to the rectangular and sinusoidal one) no periodization has to be chosen.

tructure Special	Height Discontin Sawtooth Grating		g of Elementar	y Interface	Periodization	1	
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Common	Grating Values						
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Lateral Shift 0 mm Rotation Angle 0°							
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 Again, the data of the decomposed structure can be adjusted and investigated in the advanced settings.

Period: 10 µm

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Edit General Grating 2D Component

Component Propagation

Fourier Modal Method

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Remark on the Position of the Detector

Remark on the Detector Position

- In VirtualLab the detector is located subsequent to the substrate in air by default.
- This is necessary if the grating is included in a complex optical setup.
- However, the perfect plane and parallel substrate may cause some interference effects, which not occur in reality.
- Thus, for calculation of just grating efficiencies it is appropriate to set the detector inside the substrate material (likewise to most of grating evaluation software).
- This avoids the undesired influence of those interference effects.

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I Grating 20		In	Medium	Channel		Туре		Index	
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